

Checklist of Documents

Please contact HKTR by email – hktr@hkma.gov.hk if any document is missing.

Descriptions for each document

1. The Letter
2. Checklist of Documents
3. HKMA OTC TR Reference Manual (Reporting Service) version 1.3
4. HKTR Administration and Interface Development Guide version 1.3 (Reporting Service)
5. Appendix A - Trade Submission Through XML Document (Reporting)
6. Appendix B - Trade Submission Through CSV Format (Reporting)
7. Appendix C Template 1 - IRS Floating vs Fixed (New Trade, Amendment, Backloading) (Reporting)
8. Appendix C Template 2 - IRS Floating vs Floating (New Trade, Amendment, Backloading) (Reporting)
9. Appendix C Template 3 - FX NDF (New Trade, Amendment, Backloading) (Reporting)
10. Appendix C Template 4 - All (Full Termination, Partial Termination) (Reporting)
11. Appendix C Template 5 - All (Withdrawal, Quit) (Reporting)
12. Appendix C Template 6 - All (Relink) (Reporting)
13. Appendix C Template 7 - All (Suppress Uncertain) (Reporting)
14. Appendix C - Ref-Response (Reporting)
15. Appendix D - Validation Rules (Reporting)
16. Appendix E - Examples in XML Format (Reporting)
17. Appendix E - Example 1-treq-TRADEPARTY01-20130604-NTD_NDF_1370334200864.xml
18. Appendix E - Example 2-treq-TRADEPARTY01-20130604-NTD_FVF_1370334200864.xml
19. Appendix E - Example 3-treq-TRADEPARTY01-20130604-NTD_BSS_1370334200864.xml
20. Appendix E - Example 4-treq-TRADEPARTY01-20130604-NTD_OIS_1370334200864.xml
21. Appendix E - Example 5-treq-TRADEPARTY01-20130604-BLD_NDF_1370334200864.xml

Filename for each file attachment

1. The_Letter.pdf
2. Checklist_of_Documents.pdf
3. HKMA_OTC_TR_Reference_Manual_Reporting_Service_v1.3.pdf
4. HKTR_Administration_and_Interface_Development_Guide_v1.3_(Reporting_Service).pdf
5. Appendix_A-Trade_Submission_Through_XML_Document_Reporting.pdf
6. Appendix_B-Trade_Submission_Through_CSV_Format_Reporting.pdf
7. Appendix_C_Template_1-IRS_FloatingvsFixed_NewTrade_Amendment_Backloading_Reporting.xlsm
8. Appendix_C_Template_2-IRS_FloatingvsFloating_NewTrade_Amendment_Backloading_Reporting.xlsm
9. Appendix_C_Template_3-FX_NDF_NewTrade_Amendment_Backloading_Reporting.xlsm
10. Appendix_C_Template_4-All_Full_Termination_Partial_Termination_Reporting.xlsm
11. Appendix_C_Template_5-All_Withdrawal_Quit_Reporting.xlsm
12. Appendix_C_Template_6-All_Relink_Reporting.xlsm
13. Appendix_C_Template_7-All_Suppress_Uncertain_Reporting.xlsm
14. Appendix_C-Ref-Response_Reporting.xlsx
15. Appendix_D-Validation_Rules_Reporting.pdf
16. Appendix_E-Examples_in_XML_Format_Reporting.pdf
17. treq-TRADEPARTY01-20130604-NTD_NDF_1370334200864.xml
18. treq-TRADEPARTY01-20130604-NTD_FVF_1370334200864.xml
19. treq-TRADEPARTY01-20130604-NTD_BSS_1370334200864.xml
20. treq-TRADEPARTY01-20130604-NTD_OIS_1370334200864.xml
21. treq-TRADEPARTY01-20130604-BLD_NDF_1370334200864.xml

Descriptions for each document

22. Appendix E - Example 6-treq-TRADEPARTY01-20130604-BLD_FVF_1370334200864.xml
23. Appendix E - Example 7-treq-TRADEPARTY01-20130604-BLD_BSS_1370334200864.xml
24. Appendix E - Example 8-treq-TRADEPARTY01-20130604-BLD_OIS_1370334200864.xml
25. Appendix E - Example 9-treq-TRADEPARTY01-20130604-AMD_NDF_1370334200864.xml
26. Appendix E - Example 10-treq-TRADEPARTY01-20130604-AMD_FVF_1370334200864.xml
27. Appendix E - Example 11-treq-TRADEPARTY01-20130604-AMD_BSS_1370334200864.xml
28. Appendix E - Example 12-treq-TRADEPARTY01-20130604-AMD_OIS_1370334200864.xml
29. Appendix E - Example 13-treq-TRADEPARTY01-20130604-FTM_1370334200864.xml
30. Appendix E - Example 14-treq-TRADEPARTY01-20130604-PTM_1370334200864.xml
31. Appendix E - Example 15-treq-TRADEPARTY01-20130604-WDL_1370334200864.xml
32. Appendix E - Example 16-treq-TRADEPARTY01-20130604-QIT_1370334200864.xml
33. Appendix E - Example 17-treq-TRADEPARTY01-20130604-RLK_1370334200864.xml
34. Appendix E - Example 18-treq-TRADEPARTY01-20130604-SUC_1370334200864.xml
35. Appendix E – Extended FpML schema Readme (Reporting)
36. Appendix E – Extended FpML schema 1 - hkicl-tr-business-events.xsd
37. Appendix E – Extended FpML schema 2 -hkicl-tr-doc.xsd
38. Appendix E – Extended FpML schema 3 -hkicl-tr-enum.xsd
39. Appendix E – Extended FpML schema 4 -hkicl-tr-fpml-extended.xsd
40. Appendix E – Extended FpML schema 5 -hkicl-tr-fx.xsd
41. Appendix E – Extended FpML schema 6 -hkicl-tr-main.xsd
42. Appendix E – Extended FpML schema 7 -hkicl-tr-reporting.xsd
43. Appendix E – Extended FpML schema 8 -hkicl-tr-shared.xsd
44. Appendix F – Reporting - Ref - Enumerations and coding schemes (Reporting)
45. Change Log from AIDG version 1.2 to 1.3 (Reporting)

Filename for each file attachment

22. treq-TRADEPARTY01-20130604-BLD_FVF_1370334200864.xml
23. treq-TRADEPARTY01-20130604-BLD_BSS_1370334200864.xml
24. treq-TRADEPARTY01-20130604-BLD_OIS_1370334200864.xml
25. treq-TRADEPARTY01-20130604-AMD_NDF_1370334200864.xml
26. treq-TRADEPARTY01-20130604-AMD_FVF_1370334200864.xml
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32. treq-TRADEPARTY01-20130604-QIT_1370334200864.xml
33. treq-TRADEPARTY01-20130604-RLK_1370334200864.xml
34. treq-TRADEPARTY01-20130604-SUC_1370334200864.xml
35. Appendix_E-Extended_FpML_schema_Readme_Reporting.pdf
36. hkicl-tr-business-events.xsd
37. hkicl-tr-doc.xsd
38. hkicl-tr-enum.xsd
39. hkicl-tr-fpml-extended.xsd
40. hkicl-tr-fx.xsd
41. hkicl-tr-main.xsd
42. hkicl-tr-reporting.xsd
43. hkicl-tr-shared.xsd
44. Appendix_F_Reporting-Ref-Enumerations_and_coding_schemes.xlsx
45. Change_Log_from_AIDG_version_1.2_to_1.3_Reporting.xls